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5 January 2024

## **Regulation, Audit and Accounts Committee**

A meeting of the Committee will be held at **10.30 am** on **Monday, 15 January 2024** at **County Hall, Chichester, PO19 1RQ**.

Tony Kershaw  
Director of Law and Assurance

### **Agenda**

#### **Part I**

1. **Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. **Minutes of the last meeting of the Committee** (Pages 5 - 10)

The Committee is asked to agree the minutes of the meeting held on 1 December 2023 (cream paper).

3. **Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. **Part II Matters**

Members are asked to indicate at this stage if they wish the meeting to consider bringing into Part I any items on the Part II agenda.

5. **External Audit Progress Update**

The Committee is asked to consider the verbal progress update from the External Auditor Ernst & Young (EY).

6. **Internal Audit Progress Report** (Pages 11 - 50)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress report.

7. **Internal Audit Plan 2023/24 (Q4)** (Pages 51 - 64)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Plan 2023/24 (Q4).

8. **Treasury Management Compliance Report - Third Quarter 2023/24**  
(To Follow)

Report by the Director of Finance and Support Services.

The Committee is asked to review and comment on the Treasury Management Compliance Report.

9. **Annual Governance Statement Action Plan Update 2022/23**  
(Pages 65 - 82)

Report by the Director of Law and Assurance.

The Committee is asked to note the updates in the report.

10. **Standing Order amendments - Provider Selection Regime**  
(Pages 83 - 128)

Report by the Director of Law and Assurance.

The Committee is asked to endorse the proposed changes to Standing Orders on Procurement and Contracts for approval at a County Council meeting to enable the Council to utilise the processes as set out in the PSR when procuring contracts for health care services.

11. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 11 March 2024 at County Hall North, Horsham. Planned agenda items include:

- Quarterly Risk Management Report
- Internal Audit Progress Report
- Internal Audit Plan 2024/25 (Q1)
- Work Programme 2024/25

## **Part II**

### **12. Exclusion of Press and Public**

The Committee is asked to consider in respect of the following item(s) whether the public, including the press, should be excluded from the meeting on the grounds of exemption under Part I of Schedule 12A of the Local Government Act 1972, as indicated below, and because, in all the circumstances of the case, the public interest in maintaining the exemption of that information outweighs the public interest in disclosing the information.

### **13. Internal Audit Progress Report - Exempt Appendix (Pages 129 - 130)**

Appendix 1 from the Internal Audit Progress Report (Agenda Item 6) is attached for members of the Committee only (yellow paper).

Exemption paragraphs:

2. Information which is likely to reveal the identity of an individual.
3. Information relating to financial and business affairs.
7. Information relating to action taken for the prevention, investigation or prosecution of crime.

**To all members of the Regulation, Audit and Accounts Committee**